

# FEE TRANSMITTAL SHEET

O I P A (FOR FY 2001)



PATENTS & TRADES

METHOD OF PAYMENT (Check One)

1. [X] The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP
2.  Check Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
101	740	201	370	<input type="checkbox"/> Utility Filing Fee
106	330	206	165	<input type="checkbox"/> Design Filing Fee
108	740	208	370	<input type="checkbox"/> Reissue Filing Fee
114	160	214	80	<input type="checkbox"/> Provisional Filing Fee
<b>Subtotal (1)</b>				<b>\$ 0</b>

### 2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
Total	5	- 20	= 0 x \$ 18	= \$ 0
Ind.	1	- 3	= 0 x \$ 84	= \$ 0
Multiple Dependent Claims			x \$ = \$	
				<b>Subtotal (2)</b> <u>\$ 0</u>

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent Claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

Complete if Known	
Application No.	09/910,661
Filing Date	July 20, 2001
First Named Inventor	Vishnu K. Agarwal
Group Art Unit	1763
Examiner	George Goudreau
Atty. Docket Number	500431.04

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## FEE CALCULATION (Continued)

**IC 1700**

### 3. ADDITIONAL FEES

Large Entity	Small Entity			Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
105	130	205	65	Surcharge - Late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For Filing a Request for Reexamination
195	300	196	300	Publication (early or Republication)
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within 2 <sup>nd</sup> month
117	920	217	460	Extension for reply within 3 <sup>rd</sup> month
118	1,440	218	720	Extension for reply within 4 <sup>th</sup> month
128	1,960	280	980	Extension for reply within 5 <sup>th</sup> month
120	320	220	160	Filing a brief in support of an appeal
121	280	270	140	Request for oral hearing
148	110	248	55	Terminal Disclaimer Fee
140	110	240	55	Petition to revive – unavoidable
141	1,280	241	640	Petition to revive – unintentional
142	1,280	242	640	Utility/Reissue issue fee (+ advance copies)
143	460	243	230	Design issue fee (+ advance copies)
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	180	126	180	Submission of IDS
581	40	81	40	Recording each patent assignment per property (times number of properties)
179	740	279	370	Request for Continued Examination (RCE)
				<b>Subtotal (3)</b> <u>\$ 0</u>

Total Amount of Payment:

\$ 0

Submitted by:

Name: Mark W. Roberts, Ph.D. Reg. No.: 46,160

Telephone: (206) 903-8728

Signature:

Date: Dec 17, 2001

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as ~~first class~~ mail in an envelope addressed to Box Non-Fee Amendment, Commissioner of Patents, Washington, DC 20231.

Date

December 17, 2001

Stephanie Jansen

*Stephanie Jansen*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Vishnu K. Agarwal

Attorney Docket No.: 500431.04

Serial No. : 09/910,661

Group Art Unit : 1763

Filed : July 20, 2001

Examiner : George Goudreau

Title : METHOD AND APPARATUS FOR ENDPOINTING A CHEMICAL-MECHANICAL PLANARIZATION PROCESS

Box Non-Fee Amendment  
Commissioner of Patents  
Washington, DC 20231

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*TO: 110-1000*

Sir:

Applicant acknowledges receipt of the Office Action dated September 28, 2001.

**REMARKS**

Claims 68-72 are pending in the application. In the Office Action dated September 28, 2001, the Examiner took the following actions: (1) rejected claims 68 and 70-71 under 35 U.S.C. §102(e) as being anticipated to U.S. Patent No. 6,228,769 to Li *et al.*; (2) rejected claims 68 and 70-71 under 35 U.S.C. §102(e) as being anticipated to U.S. Patent No. 6,110,831 to Cargo *et al.*; (3) rejected claims 69 and 72 under 35 U.S.C. §103(a) as being obvious over any of Li *et al.* or Cargo *et al.* Applicant respectfully requests reconsideration of the application in view of the following remarks.

Applicant submits herewith a declaration of the inventor, Vishnu K. Agarwal, under 37 C.F.R. §1.131. The facts contained in the declaration show that the claimed invention was conceived by Applicant prior to the filing date (May 6, 1998) of Li *et al.* and prior to the filing date (September 4, 1997) of Cargo *et al.* and that Applicant was diligent in constructive reduction to practice by submitting a disclosure of the invention for preparation of this patent